

## ***Mutual Fund Manager's Evaluation Checklist***

Use this checklist to evaluate a portfolio or mutual fund manager's ability to meet investment objectives. Don't base investment decision solely on the your results of this checklist. Seek advice from professionals and review research results before investing.

**Fund/Portfolio Name** \_\_\_\_\_ **Date** \_\_\_\_\_

| <b>Yes</b>   | <b>No</b> | <b>Comments</b> |
|--|-----------|-----------------|
| 1. Portfolio/fund management team has substantial experience.  | _____     | _____           |
| 2. Managers' prior experience has been with funds of similar size.   | _____     | _____           |
| 3. Managers' prior experience has been with funds with similar objectives.   | _____     | _____           |
| 4. Management has significant experience managing portfolios with similar investment strategies, companies and industries. | _____     | _____           |
| 5. Top management's prior track record is similar to the current portfolio's track record.                                 | _____     | _____           |
| 6. Are the fund's objectives compatible with your objectives?  | _____     | _____           |
| 7. Are the fund's risks compatible with your risk tolerance?   | _____     | _____           |
| 8. Does the fund's strategies allow enough flexibility to respond rapidly to changes in the marketplace?                   | _____     | _____           |

**Additional comments:**

Material provided by Michael P. Griffin.